

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15115.018001

In Re Application Of: Masaaki IKEDA et al.

Serial No.
10/084,641

Filing Date
02/27/2002

Examiner

Group Art Unit
1733

Invention: **MANUFACTURING METHODS AND APPARATUSES OF AN OPTICAL DEVICE AND A
REFLECTION PLATE PROVIDED WITH A RESIN THIN FILM HAVING A MICRO-ASPERITY PATTERN**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
March 27, 2002
Date

* Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Priority Document: Japanese Application No. 2001-056693 dated 03/01/2001

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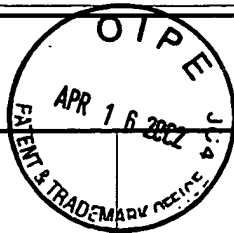
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☒ Completion of application fees as calculated below:

- | | |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$740.00 |
| <input type="checkbox"/> Design application filing fee | _____ |
| <input checked="" type="checkbox"/> Total number of independent claims = 6 | \$252.00 |
| <input checked="" type="checkbox"/> Total number of claims = 31 | \$198.00 |
| <input type="checkbox"/> Multiple dependent claims | _____ |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$130.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | _____ |
| <input type="checkbox"/> Fee for processing and retention of application | _____ |

Total completion of application fees \$1,320.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$1,320.00

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• The fee of \$1,320.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Jonathan P. Osha, Reg. No. 33,986
Rosenthal & Osha L.L.P.
One Houston Center, Suite 2800
1221 McKinney
Houston, Texas 77010
Telephone: 713-228-8600
Facsimile: 713-228-8778

Dated: 4/5/02

I certify that this document and fee is being deposited on April 9, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Wendy L. Hippe

Typed or Printed Name of Person Mailing Correspondence

CC:



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
15115.018001

In Re Application Of: Masaaki IKEDA et al.

Serial No.
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Filing Date
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1733

Title: **MANUFACTURING METHODS AND APPARATUSES OF AN OPTICAL DEVICE AND A REFLECTION PLATE PROVIDED WITH A RESIN THIN FILM HAVING A MICRO-ASPERITY PATTERN**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Priority Document: Japanese Application No. 2001-056693 dated 03/01/2001

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Jonathan P. Osha, Reg. No. 33,986
Rosenthal & Osha L.L.P.
One Houston Center, Suite 2800
1221 McKinney
Houston, Texas 77010
Telephone: 713-228-8600
Facsimile: 713-228-8778

Dated: 4/6/02

I certify that this document and fee is being deposited on APRIL 9, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Wendy L. Hippe

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,641	02/27/2002	Masaaki Ikeda	15115.018001

ROSENTHAL & OSHA L.L.P.
Suite 2800
One Houston Center
1221 McKinney
Houston, TX 77010



CONFIRMATION NO. 3692

FORMALITIES LETTER



OC00000007724363

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$450.

04/10/2002 FIBERHE	00000073	10084641
01 FC:101		740.00 OP
02 FC:105		130.00 OP
03 FC:103		198.00 OP
04 FC:102		252.00 OP

 - \$198 for 11 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1320.**

*A copy of this notice **MUST** be returned with the reply.*

Z. Kravitz
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE